# Notice of References Cited

Application/Control No. 09/777,987	Reexaminati	Applicant(s)/Patent Under Reexamination SPENCER ET AL.		
Examiner	Art Unit			
Jason M. Borlinghaus	3628	Page 1 of 2		

### U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-4,674,044	06-1987	Kalmus et al.	705/37
*	В	US-5,168,446	12-1992	Wiseman, James W.	705/37
*	С	US-2002/0156719	10-2002	Finebaum et al.	705/37
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	Н	US-			
	ı	US-			
	J	US-			
	к	US-			
	L	US-			
	М	US-			

## FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
	Р					
	Q					
	R					
	S					
	Т					

## **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Thau, Annette. The Bond Book. 2nd Edition. McGraw-Hill. November 2, 2000. pp. 146 - 147
	٧	Bergman, L, Brunekreeft, G, Doyle, C, Von der Fehr, N M, Newbery, D M, Pollitt, M and Regibeau, P. A European Market for Electricity: Monitoring European Deregulation 2. Center for Business and Policy Studies. Stockholm, Sweden. October 1999. p. 70
	w	Spence, Donald. Introduction to Futures and Options. Woodhead Publishing Limited. London, England. 1997. pp. 55 - 56
	x	Stoll, Hans R. Microstructure of World Trading Markets. Springer. February 1, 1993. p. 311

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

# Notice of References Cited Application/Control No. 09/777,987 Examiner Jason M. Borlinghaus Applicant(s)/Patent Under Reexamination SPENCER ET AL. Page 2 of 2

## **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-			
	В	US-			
	C	US-			
	D	US-	,		
	E	US-			
	F	US-			
	G	US-			
	н	US-			
	l	US-			
	J	US-			
	К	US-			
	L	US-			
	N	US-			

## FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
	Р					
	α	•				
	R					
	S					
	Т					

## **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Brown, David L & Bentley, Kassandra. Getting Started in Online Investing. John Wiley & Sons. 1999. pp. 119 – 121
	v	
	w	
	×	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.